CERTIFICATE OF EXPRESS MAILING

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under CFR § 1.10 on the date indicated below and is addressed to: Mail Stop PATENT APPLICATION Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 27, 2003. Signed:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Kimberly Melvin

In re application of:

ROBERT J. LIPSHUTZ et al.

Application No.: Unassigned

Filed: Herewith

For: POLYMORPHISM DETECTION

Atty. Docket No.: AFFYP016C2

Examiner: J. Riley

Group: 1656

Date: June 27, 2003

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.56 AND 1.97(c)

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a Continuation of prior application U.S. Patent Application No. 08/853,370. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed within three (3) months of the filing date of the above-referenced application. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-1652 (Order No. AFFYP016C2).

Respectfully submitted

Reg. No. 36,653

RITTER, LANG & KAPLAN LLP 12930 Saratoga Ave., Suite D1 Saratoga, CA 95070

Tel: (408) 446-8690 Fax: (408) 446-8691

Form 1449 (Modified)	Atty Docket No. Application No.:	AFFYP016C1 Unassigned
Information Disclosure Statement By Applicant	Inventor Group	ROBERT J. LIPSHUTZ et al. 1656
(Use Several Sheets if Necessary)	Filing Date	August 23, 2001

Form 1449 (Modified)	Atty Docket No.	AFFYP016C2
	Application No.:	Unassigned
Information Disclosure	Inventor	ROBERT J. LIPSHUTZ
Statement By Applicant	Group	1656
	Filing Date	Herewith
(Use Several Sheets if Necessary)		

U.S. Patent Documents

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
] 1	5,002,867	03/26/91	Macevicz	435	6	
	2	5,089,386	2/1992	Stackebrandt et al.	435	6	
	3	5,143,854	09/01/92	Pirrung et al.	436	518	
	4	5,202,231	04/13/93	Drmanac	435	6	
	5	5,242,974	09/07/93	Holmes	525	54.11	
	6	5,252,743	10/12/93	Barrett et al.	548	303.7	
	7	5,264,565	11/23/93	England et al.	536	234	
	8	5,270,170	12/14/93	Schatz et al.	435	7.37	
	9	5,302,707	04/12/94	Campbell et al.	536	25.33	
	10	5,324,633	06/28/94	Fodor et al.	435	6	
	11	5,338,665	08/16/94	Schatz et al.	435	6	
···	12	5,359,115	10/25/94	Campbell et al.	558	110	
	13	5,362,899	11/08/94	Campbell	558	108	
•	14	5,384,261	01/24/95	Winkler et al.	436	518	
	15	5,405,783	04/11/95	Pirrung et al.	436	518	
	16	5,412,087	05/02/95	McGall et al.	536	24.3	
	17	5,420,328	05/30/95	Campbell	558	110	
	18	5,424,186	06/13/95	Fodor et al.	435	6	
	19	5,427,908	06/27/95	Dower et al.	435	5	
	20	5,432,018	07/11/95	Dower et al.	435	5	
	21	5,445,934	08/29/95	Fodor et al.	435	6	
	22	5,451,683	09/19/95	Barrett et al.	548	302.7	1
	23	5,482,867	01/09/96	Barrett et al.	436	518	
	24	5,489,678	02/06/96	Fodor et al.	536	22.1	
	25	5,491,074	02/13/96	Aldwin et al.	435	69.7	
	26	5,498,530	03/12/96	Schatz et al.	435	69.1	
	27	5,503,805	04/02/96	Sugarman et al.	422	131	
· -,	28	5,510,270	04/23/96	Fodor et al.	436	518	
	29	5,527,670	06/18/96	Stanley			
	30	5,527,681	06/18/96	Holmes	435	6	
	31	5,541,061	07/30/96	Fodor et al.	435	6	
	32	5,545,531	08/13/96	Rava et al.	435	6	
	33	5,547,839	08/20/96	Dower et al.	435	6	
	34	5,550,215	08/27/96	Holmes	530	334	

Form 1449 (Modified)	Atty Docket No. Application No.:	AFFYP016C1 Unassigned
Information Disclosure Statement By Applicant	Inventor Group Filing Date	ROBERT J. LIPSHUTZ et al. 1656 August 23, 2001
(Use Several Sheets if Necessary)	I ming Date	71ugust 25, 2001

35	5,556,752	09/17/96	Lockhart et al.	435	6	
36	5,571,639	11/05/96	Hubbell et al.	430	5	
37	5,578,832	11/26/96	Trulson et al.	250	458.1	
38	5,593,839	01/14/97	Hubbell et al.	435	6	
39	5,599,695	02/04/97	Pease et al.	435	91.1	
40	5,607,832	03/04/97	Stanley et al.	435	6	
41	5,624,711	04/29/97	Sundberg et al.	427	261	
42	5,631,734	05/20/97	Stern et al.	356	317	
43	5,690,894	11/25/97	Pinkel et al.	422	68.1	
44	5,795,716	08/18/98	Chee et al.	435	6	<u></u>

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	45	89/10977	11/16/89	WIPO			X	
	46	90/15070	12/13/90	WIPO			X	
	47	91/07087	05/30/91	WIPO			X	
	48	2,036,946	10/07/91	Canada			X	
	49	92/04470	03/19/92	WIPO			X	
	50	92/10092	06/25/92	WIPO			X	
	51	92/10588	06/25/92	WIPO			X	
	52	93/09668	05/27/93	WIPO			X	
	53	93/10161	05/27/93	WIPO			X	
	54	93/15224	08/05/93	WIPO			X	
	55	93/22694	11/11/93	WIPO			X	
	56	94/10128	05/11/94	WIPO			X	
	57	94/28173	12/08/94	WIPO			X	
	58	95/00530	01/05/95	WIPO		<u> </u>	X	L
	59	95/11995	05/04/95	WIPO			X	
	60	95/22058	08/17/95	WIPO			X	
	61	95/25177	09/21/95	WIPO			X	
	62	95/33846	12/14/95	WIPO			X	
	63	97/23357	01/23/97	WIPO			X	
	64	97/10365	03/20/97	WIPO			X	
	65	97/12063	04/03/97	WIPO			X	
	66	97/27317	07/31/97	WIPO			X	
	67	97/29212	08/14/97	WIPO			X	

Other Documents

Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	65	Brenner et al. "DNA fingerprinting by sampled sequencing" Proc. Natl. Acad. Sci.
	ļ	USA 86:8902-8906. (1989)

Form 1449 (Modified)	Atty Docket No.	AFFYP016C1
	Application No.:	Unassigned
Information Disclosure	Inventor	ROBERT J. LIPSHUTZ et al.
Statement By Applicant	Group	1656
	Filing Date	August 23, 2001
(Use Several Sheets if Necessary)		

68	Fodor et al. "Light-Directed, Spatially Addressable Parallel Chemical Synthesis" Science 251:767-777. (1991)
69	Hoheisel "Application of hybridization techniques to genome mapping and sequencing" Trends in Genetics 10(3):79-83. (1994)
70	Hotelling, Harold "Analysis of a Complex of Statistical Variables into Principal Components," The Journal of Educational Psychology, Volume 26, pp. 417-441. (1933)
71	Hotelling, Harold "Analysis of a Complex of Statistical Variables into Principal Components," The Journal of Educational Psychology, Volume 26, pp. 498-520. (1933)
72	Hotelling, Harold "Simplified Calculation of Principal Components," Psychometrika, Volume 1, Number 1, pp. 27-35. (1936)
73	Pearson, Karl "On lines and Planes of Closest Fit to Systems of Points in Space," in The London, Edinburgh, and Dublin Philosophical Magazine and Journal of Science, Volume 2, Sixth Series, July-December 1901, pp. 559-572. (1901)
74	Pease et al. "Light-generated oligonucleotide arrays for rapid DNA sequence analysis" Proc. Natl. Acad. Sci. USA 91:5022-5026. (1994)
75	Sapolsky et al. "Mapping genomic library clones using oligonucleotide arrays" Hilton Head Conference, So. Carolina. (Sept. 17-21, 1994)
76	Smith "Ligation-mediated PCR of restriction fragments from large DNA molecules" PCR Methods and Applications 2:21-27. (1992)
77	Szybalski et al. "Class-IIs restriction enzymes: A review" Gene 100:13-26. (1991)
78	Szybalski "Universal restriction endonucleases: Designing novel cleavage specificities by combining adapter oligo-deoxynucleotide and enzyme moieties" Gene 40:169-173. (1985)
79	Unrau et al. "Non-cloning amplification of specific DNA from whole genomic DNA digests using DNA indexers" Gene 145:163-169. (1994)
80	Matthews et al. "Review. Analytical Strategies for the Use of DNA Probes" Analytical Biochemistry, Vol. 169, pp. 1-25. (1988)
81	Southern et al. "Analyzing and comparing nucleic acid sequences by hybridization to arrays of oligonucleotides: Evaluation using experimental models" Genomics Vol. 13, pp. 1008-1017. (1992)
82	Lipshutz et al. "Using oligonucleotide probe arrays to access genetic diversity" Biotechniques, Vol. 19, No. 3, pp. 442-447. (1995)

Examiner	Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.